

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
WACO DIVISION**

WSOU INVESTMENTS, LLC d/b/a BRAZOS  
LICENSING AND DEVELOPMENT,

Plaintiff,

v.

DELL TECHNOLOGIES INC., DELL INC.,  
AND EMC CORPORATION,

Defendants.

Case No. 6:20-cv-00482-ADA

**JURY TRIAL DEMANDED**

**LETTER OF REQUEST: REQUEST FOR INTERNATIONAL JUDICIAL ASSISTANCE  
PURSUANT TO THE HAGUE CONVENTION OF 18 MARCH 1970 ON THE TAKING  
OF EVIDENCE ABROAD IN CIVIL OR COMMERCIAL MATTERS**

**GREETINGS:**

1. Sender	The Honorable Judge Alan D Albright, District Judge United States District Court for the Western District of Texas, Waco Division 800 Franklin Avenue Room 301 Waco, Texas 76701 USA
2. Central Authority of the Requested State	Amtsgericht Freiburg im Breisgau Holzmarkt 2, 79098 Freiburg im Breisgau
3. Person to whom the executed request is to be returned	This Court; representatives of the parties as indicated below; the witnesses from whom evidence is requested as indicated below; such other person(s) that you deem proper; and Defendants' representative in Germany:  <b>Alexander Horn</b> Gibson, Dunn & Crutcher LLP Marstallstrasse 11 80539 Munich Germany

	Tel: +49 89 189 33-261 Email: AHorn@gibsondunn.com
4. Specification of the date by which the requesting authority requires receipt of the response to the Letter of Request	June 1, 2021

In conformity with Article 3 of the Hague Convention on the Taking of Evidence Abroad in Civil or Commercial Matters (“Hague Convention”), Federal Rule of Civil Procedure 28(b), and 28 U.S.C.A. 1781(b), the undersigned authority respectfully has the honor to submit the following request:

5. a. Requesting Judicial Authority (Article 3, a)	The Honorable Judge Alan D Albright, District Judge United States District Court for the Western District of Texas, Waco Division 800 Franklin Avenue Room 301 Waco, Texas 76701 USA
b. To the competent Authority of (Article 3, a)	Germany
c. Names of the case and any identifying number	<i>WSOU Investments LLC v. Dell Technologies Inc.</i> Case No. 6:20-cv-00482-ADA, United States District Court for the Western District of Texas
6. Names and addresses of the parties and their representative (including representatives in the requested State) (Article 3, b)	
a. Plaintiffs	WSOU Investments LLC
Representatives	WSOU is represented by:  <b>Brett Aaron Mangrum</b> Etheridge Law Group 2600 East Southlake Blvd., Suite 120-324

	<p>Southlake, TX 76092  469-401-2659  Fax: 817-887-5950  Email: brett@etheridgelaw.com</p> <p><b>Jeffrey Huang</b>  Etheridge Law Group PLLC  2600 East Southlake Blvd  Suite 120-324  Southlake, TX 76092  408-797-9059  Fax: 817-887-5950  Email: jhuang@etheridgelaw.com</p> <p><b>Ryan Scott Loveless</b>  Etheridge Law Group PLLC  2600 E Southlake Blvd  Suite 120-324  Southlake, TX 76092  972-292-8303  Fax: 817-887-5950  Email: ryan@etheridgelaw.com</p> <p><b>James L. Etheridge</b>  Etheridge Law Group, PLLC  2600 E. Southlake Blvd., Suite 120-324  Southlake, TX 76092  817-470-7249  Fax: 817-887-5950  Email: jim@etheridgelaw.com</p>
b. Defendants	Dell Technologies Inc., Dell Inc., and EMC Corporation, (collectively "Defendants")
Representatives	<p>Dell Technologies Inc., Dell Inc., and EMC Corporation, are represented by:</p> <p>Shelton Coburn LLP:</p> <p><b>Barry K. Shelton</b>  Shelton Coburn LLP  311 RR 620 S  Suite 205  Austin, TX 78734-4775  512-263-2165</p>

Fax: 512-263-2166

Email: bshelton@sheltoncoburn.com

Gibson, Dunn & Crutcher LLP:

**Benjamin Hershkowitz**

**Brian A. Rosenthal**

**Allen Kathir**

**Kyanna Sabanoglu**

GIBSON, DUNN & CRUTCHER LLP

200 Park Avenue

New York, NY 20036-5306

Telephone: (212) 351-2410

Email: BHershkowitz@gibsondunn.com

BRosenthal@gibsondunn.com

AKathir@gibsondunn.com

KSabanoglu@gibsondunn.com

**Y. Ernest Hsin**

**Jaysen S. Chung**

GIBSON, DUNN & CRUTCHER LLP

555 Mission St., Ste. 3000

San Francisco, CA 94105-0921

Telephone: (415) 393-8200

Email: EHsin@gibsondunn.com

JSChung@gibsondunn.com

**Ryan K. Iwahashi**

GIBSON, DUNN & CRUTCHER LLP

1881 Page Mill Rd.

Palo Alto, CA 94304-1211

Telephone: (650) 849-5300

Email: RIwahashi@gibsondunn.com

The Defendant has appointed legal counsel in Germany to pursue and assist with the commission to take evidence. The details of the Defendant's legal counsel in Germany are:

**Alexander Horn**

Gibson, Dunn & Crutcher LLP

Marstallstrasse 11

80539 Munich

Germany

Tel: +49 89 189 33-261

Email: AHorn@gibsondunn.com

c. Other parties	N/A
Representatives	N/A
7. a. Nature of the proceedings (divorce, paternity, breach of contract, product liability, etc.) (Article 3, c)	Civil action alleging patent infringement under the patent laws of the United States.
b. Summary of complaint	Discovery sought in this Letter of Request is relevant in Case No. 6:20-cv-00482-ADA: In WSOU's complaint against Defendants, WSOU alleges that Defendants infringe U.S. Patent No. 7,424,020 ("the '020 patent").
c. Summary of defense and counterclaim	<p>In defense against WSOU's claims of patent infringement of the '020 patent, Defendants assert, <i>inter alia</i>, that they do not infringe any claims of the '020 patent and that the '020 patent is invalid.</p> <p>Christopher Öchsner has knowledge of the facts relevant to Defendants' defenses. Christopher Öchsner is relevant to the action by virtue of being an inventor of the '020 patent. Christopher Öchsner holds critical facts to this case, including facts relevant to a number of defenses raised by Defendants and any potential damages, including information related to the prosecution of the '020 patent; prior uses and/or sales or products and services incorporating the '020 patent, publications related to the concepts claimed in the '020 patent; commercialization, production and/or commercial embodiments related to the '020 patent; the state of the art at the time of the alleged invention and/or filing of the applications related to the '020 patent; the ownership and financial interests in the '020 patent; conception, diligence and/or reduction to practice of the concepts claimed in the '020 patent; and the disclosure of the claimed invention of the asserted patent. As well as licensing of and/or agreements covering the '020 patent. And, financial knowledge including valuation and royalties associated with the '020 patent or any license and/or agreement covering</p>

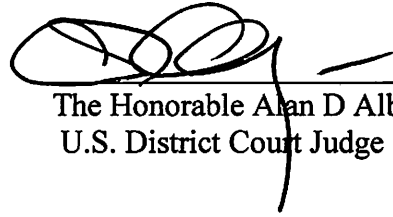
	the '020 patent; and other financial interests (including revenue, costs, expenses and profits) as well as financial interests and information related to the '020 patent.
d. Other necessary information or documents	Christopher Öchsner's current address is: DATAGROUP SE Wilhelm-Schickard-Straße 7 72124 Pliezhausen, Germany
8. a. Evidence to be obtained or other judicial act to be performed (Article 3d),	In order to present its defenses that the '020 patent is not infringed, invalid, and unenforceable and to determine any alleged damages, Defendants seek certain answers to questions from Christopher Öchsner. Defendants have collected these questions in a Witness Questionnaire which is attached as Exhibit A1.
b. Purpose of the evidence or judicial act sought	<p>With respect to the '020 patent, Christopher Öchsner has information and knowledge relating to the prosecution of the '020 patent; prior uses and/or sales or products and services incorporating the '020 patent, publications related to the concepts claimed in the '020 patent; commercialization, production and/or commercial embodiments related to the '020 patent; the state of the art at the time of the alleged invention and/or filing of the applications related to the '020 patent; the ownership and financial interests in the '020 patent; conception, diligence and/or reduction to practice of the concepts claimed in the '020 patent; and the disclosure of the claimed invention of the asserted patent. As well as licensing of and/or agreements covering the '020 patent. And, financial knowledge including valuation and royalties associated with the '020 patent or any license and/or agreement covering the '020 patent; and other financial interests (including revenue, costs, expenses and profits) as well as financial interests and information related to the '020 patent.</p> <p>This evidence is directly relevant to Defendants' claims that the '020 patent is not infringed, invalid, and</p>

	unenforceable and to determine any alleged damages under United States patent law.
9. Identity and address of any person to be examined (Article 3, e)	Christopher Öchsner DATAGROUP SE Wilhelm-Schickard-Straße 7 72124 Pliezhausen, Germany
10. Questions to be put to the persons to be examined or statement of the subject matter about which they are to be examined (Article 3, f)	See Exhibit A1
11. Documents or other property to be inspected (Article 3, g)	
12. Any requirement that the evidence be given on oath or affirmation and any special form to be used (Article 3, h)	We respectfully request that the testimony be taken under oath.
13. Special methods or procedure to be followed (e.g. oral or in writing, verbatim, transcript or summary, cross-examination, etc.) (Article 3, i) and 9)	<p>This Court respectfully requests that the Central Authority direct the witness, Christopher Öchsner, to appear on or before June 1, 2021.</p> <p>This Court respectfully requests that the witness be directed to answer such questions as Defendants or their counsel desire, relating to matters outlined in attached Exhibit A1.</p> <p>This Court respectfully requests that the examination be permitted to be conducted in accordance with the Federal Rules of Evidence, and the United States Federal Rules of Civil Procedure.</p> <p>This Court respectfully requests that Defendants and representatives be allowed to attend the examination and ask questions of Christopher Öchsner, relating to matters outlined in attached Exhibit A1.</p>

	<p>This Court respectfully requests that the examination be (partially) conducted via video conference to allow U.S. counsel to join the hearing.</p> <p>This Court respectfully requests that the testimony be video recorded and also transcribed verbatim.</p> <p>This Court respectfully requests that the testimony be taken in English language if the examined person(s) agree, and that, if need be, simultaneous translation be provided.</p> <p>Costs incurred for complying with the Federal Rules of Evidence (court reporter, video recorder, simultaneous translation) shall be at Defendants' expense.</p>
14. Request for notification of the time and place for the execution of the Request and identity and address of any person to be notified (Article 7)	This Court respectfully requests that you notify this Court; the representatives of the parties as indicated above; the witness from whom evidence is requested as indicated above; and such other person(s) that you deem proper.
15. Request for attendance or participation of judicial personnel of the requesting authority at the execution of the Letter of Request (Article 8)	No judicial personnel of the requesting authority will attend or participate.
16. Specification of privilege or duty to refuse to give evidence under the law of the State of origin (Article 11, b)	Defendants believe that Christopher Öchsner does not benefit from any privilege, and does not endorse the assertion of any such privilege or duty.
17. The fees and costs incurred will be borne by	Defendants will bear the reimbursable costs associated with this request in accordance with the provisions of the Hague Convention.



So ORDERED and SIGNED this <sup>C</sup>9 day of November, 2020.



The Honorable Alan D Albright  
U.S. District Court Judge